# Guide: Expression of Interest

**Guidelines for Simplified Reporting and Documentation**

**By Race to Resilience Technical Secretariat**

**June 2024**

# Welcome Words

Welcome,

Race to Resilience (RTR) is a global campaign led by the United Nations Climate Change High-Level Champions. RTR was launched in 2021, COP26, with the objective to mobilize Non-Party-Stakeholders and catalyze a step-change in global ambition for climate resilience, putting people and nature firstThe RTR goal is to accelerate action by Non Party Stakeholders, such as subnational governments, the private sector, and civil society to increase the resilience of 4 billion people, those most vulnerable to climate risks.

Non-state actor-led regional, national or global initiatives are invited to register their interest in joining the Race to Resilience by completing the form below.

## Link to Expression of Interest (EoI)
EXPRESSION OF INTEREST 2024 Survey (surveymonkey.com)

The function of the EoI is to gather information about candidates that allows us to understand if they meet the RtR inclusion criteria and better understand how their initiative contributes to building resilience and, consequently, how it can contribute to the campaign. By obtaining this data, we can thoroughly evaluate each candidate's profile and their potential to be part of RtR. This information is crucial to ensure that the selected initiatives not only meet the established requirements (see exclusion and decision criteria, see below) but also significantly contribute to the overall goal of the campaign, promoting a more resilient and prepared community to face future challenges.

Every Expression of Interest (EoI) will be reviewed by two members of the RTR Expert Review Group (ERG). The ERG provides technical advice on applications from potential partners. We will contact you as soon as the review process is completed or in case there are any additional questions
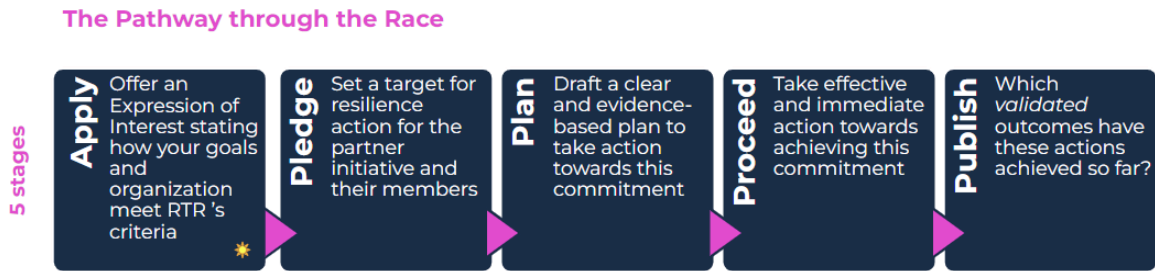
This EoI has four sections:
Section I:      General information.
Section II:     Exclusión criteria
Section III:    Decision criteria
Section IV:    4Ps criteria

Please complete your submission in English.

If you have any questions, please email **Laura Ramajo (Project Manager and Resilience Research Lead of the RTR Technical Secretariat): lauraramajo@climatechampions.team**

# The Pathway through the Race

**5 stages**

| **Apply** | **Pledge** | **Plan** | **Proceed** | **Publish** |
|---|---|---|---|---|
| Offer an Expression of Interest stating how your goals and organization meet RTR 's criteria | Set a target for resilience action for the partner initiative and their members | Draft a clear and evidence-based plan to take action towards this commitment | Take effective and immediate action towards achieving this commitment | Which *validated* outcomes have these actions achieved so far? |

**PLEDGE:** commit to setting a target for resilience action for the partner initiative and its members (which impacts or systemic shifts does your initiative pledge to achieve?). The initiative takes between 3 and 6 months after joining the campaign to submit its Pledge after having completed the General Information Survey ( when member based, members must have agreed on the Pledge). If the initiative cannot present a numeric target, it has to be willing to present a pledge that details how the initiative will catalyze resilience action in support of people and nature. Initiatives also have to be willing to translate the pledges into numbers of people or hectares of land with increased resilience (using the Metrics Framework we will provide).
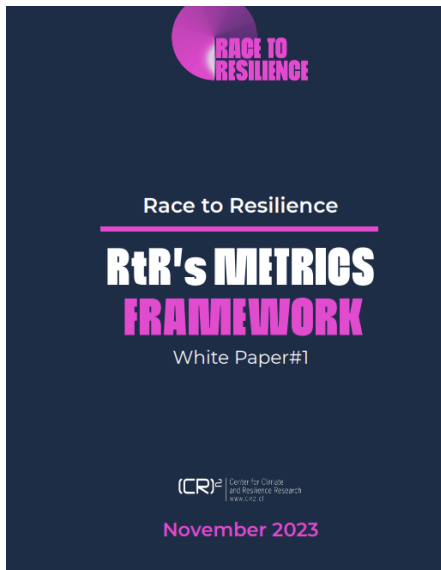
**PLAN**: willing to commit to presenting a clear and evidence-based plan to take action towards its committed pledge(s) in the next 6 months to 1 year after presenting a valid pledge (how, through which actions) do you or your members plan to achieve the pledge?).

**PROCEED**: willing to commit to taking effective and immediate action towards achieving its pledge(s) based on its plan. The initiative commits to report on the proceed stage, on the subsequent year after presenting an accepted plan(s)

**PUBLISH**: willing to commit to providing the results and outcomes that your actions achieved and the evidence to back them (which validated outcomes have these actions achieved so far?) by 2026 or in the subsequent year after presenting the proceed reporting.

# Essential Readings
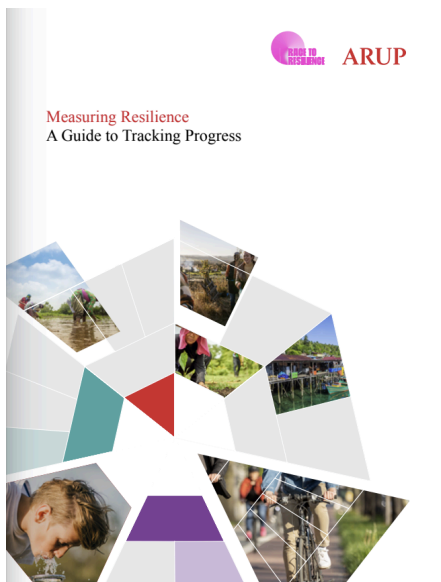
## The Race to Resilience Metrics Framework



The Race to Resilience Metrics Framework offers an innovative approach to assessing climate resilience initiatives, combining qualitative Depth Metrics with quantitative Magnitude Metrics for a comprehensive impact view. It integrates these metrics into the Monitoring, Evaluation, and Learning cycle, making them dynamic and adaptable over time for the continual improvement of resilience strategies.

This framework also addresses key measurement challenges, enhancing data credibility and robustness, and ensuring trustworthy outcomes for effective, sustainable global resilience efforts.

**Download here:** [RtR Metrics Framework (2023).pdf - Google Drive](#)

## Practical Guide to the Application of the RtR Metrics Framework (ARUP & RtR)



The purpose is to provide guidance to non-State actors seeking to measure their impact on the resilience of people to climate change. The scenarios explored go into detail on how organisations can, or could, link into the Race to Resilience framework.

**Download here:** [Practical Guide to the Application of the RtR Metrics Framework (ARUP & RTR).pdf - Google Drive](#)

# General Survey Structure

The Express of Interest (EoI) survey structure is divided into 4 sections that address several main topics:

| Sections | Main topics |
|---|---|
| Welcome and Survey Overview | |
| **Section I:** General Information (13 questions) | Name of the initiative |
| | URL of the initiative |
| | Description of the initiative |
| | Hosts of the initiative |
| | Type of the initiative |
| | Scale of impact |
| | Regions of impact |
| | Impact systems |
| | Race to Zero membership |
| **Section II:** Exclusion criteria (3 questions) | Lead by a non-state stakeholder |
| | Collaboration with other RtR partners |
| | Information disclosure |
| **Section III:** Decision criteria (max. 17 questions) | Non-Party Stakeholders (increasing resilience, reasons to be part of RtR) |
| | Inclusion and Equity |
| | Sustainability (impacts on nature ecosystems) |
| | Theory of change |
| | Governance (operational capacity, member progress) |
| **Section IV:** 4Ps criteria (max. 9 questions) | Reporting (numeric metrics) |

# Survey Questions

## Section I: General information.
This section requires responses to all questions.

**Question.** Initiative/Organization Name

**Question.** URL of Initiative/Organization

**Question.** Please, describe the initiative, its main goals and objectives for building the resilience of people/nature (max. 2.000 characters)

**Question.** Who hosts/provides a Secretariat for the initiative?

**Question.** Name of main point of contact

**Question.** Email address (of the name of contact)

**Question.** Year that the initiative/organization started working on resilience

**Question.** Type of initiative (tick all that apply)
- ☐ Individual Organization
- ☐ Alliance
- ☐ Association
- ☐ Coalition
- ☐ Consortium
- ☐ Network
- ☐ Partnership
- ☐ Other (please, specify)

**Question.** Your initiative/organization is part of … (tick all that apply)
- ☐ Private sector
- ☐ Academia/Universities
- ☐ Civil society organizations
- ☐ National governments
- ☐ Sub-national governments
- ☐ Indigenous Peoples or Local Community led initiative
- ☐ Other (please, specify)

**Question.** Targeted geography (tick all that apply)
- ☐ Local (sub-national Admin 1)
- ☐ National
- ☐ Regional (supra-national)
- ☐ Predominantly Global South
- ☐ Predominantly Global North
- ☐ Global
- ☐ Other (please, specify)

**Question.** Targeted regions (tick all that apply)
- ☐ East Asia & Pacific
- ☐ Europe & Central Asia
- ☐ Latin America & Caribbean
- ☐ Middle East & North Africa
- ☐ North America
- ☐ South Asia
- ☐ Sub-Saharan Africa
- ☐ Other (please, specify)

**Question.** Which of the Sharm-el-Sheikh impact systems does your initiative and its members mostly tackle? (tick all that apply). Please, see SSA Agenda here.
- ☐ Food security and agriculture systems
- ☐ Water and nature systems
- ☐ Human Settlement Systems
- ☐ Oceans and coastal systems
- ☐ Health
- ☐ Infrastructure systems
- ☐ Cross-cutting: planning
- ☐ Cross-cutting: Finance
- ☐ Other (please specify)

**Question.** Is the initiative a partner or member of Race to Zero?

# Section II: Exclusion criteria.

Exclusion criteria are criteria that an initiative must meet or agree to if it wants to join the campaign. These types of questions are the first filter that determines which initiatives will be evaluated by the ERG.

The initiatives wishing to join the campaign must:
- ***Be a Non-Party Stakeholder***: including civil society organizations, the private sector, financial institutions, cities and subnational authorities, local communities and Indigenous peoples initiatives.
- ***Share the goals of the RTR***: supporting resilience building efforts that benefit people and/or nature. Actions that are measurable and/or that promote enabling conditions and systemic transformations driving resilience.
- ***Commit to report on progress, through the RTR 4Ps Journey***: Pledge, Plan, Proceed and Publish.
- ***Agree on being part of the community of practice***, share knowledge and collaborate with other initiatives and partners to drive ambition.

**If your initiative does not meet one or more of these criteria, it will not comply with RtR eligibility requirements.**

**However, if you believe your organization should still be considered, please contact us at lauraramajo@climatechampions.team**

This section requires responses to all questions.

**Question.** Is the initiative led by a non-Party Stakeholder (NPS)?

*(Only initiatives led by NPS can be part of the campaign. Alternatively, you could become a member of an initiative that is already part of the campaign. Meet our partners [here](.)).*

**Question.** Does the initiative agree to share knowledge and collaborate with other initiatives to drive ambition on building climate resilience?

*(The initiatives that want to join the campaign must share knowledge and collaborate with other initiatives and partners to drive ambition. If an initiative does not agree on this, it cannot be part of the campaign.)*

**Question.** Does the initiative agree to disclose the information related to its reporting on progress?

*(The initiative must be willing to disclose the information related to the different RTR reporting tools such as General Info Survey, Pledge, Plan, Proceed. All information will be open and accessible in the RTR Data explorer)*

**Responding "No" to one or more of these questions determines that your initiative does not comply with some of the exclusion criteria,** but if you think that your organisation should be considered anyway, an additional page in the survey gives you the opportunity to indicate the reasons that you wish would be taken in consideration to that account. After that, please press the 'Next' button, and then in the next page 'Done' your information. After we check your information, we will contact you. Please, do not forget to submit your information.

Otherwise, if you wish to review your answer, just press the 'Prev' button.

Moreover, in case you have any doubt on the exclusion criteria and whether they apply to you, please write to [lauraramajo@climatechampions.team](mailto:lauraramajo@climatechampions.team), Project Manager RtR Technical Secretariat)

# Section II: Decision criteria.

**Decision Criteria** is the basis on which the ERG members make their assessment and decide whether to recommend or not an initiative to become a partner.

Initiatives wishing to join the campaign must:
- ***Resilience***: Be committed to increasing resilience.
- ***Theory of change***: Deliver a step-change in global ambition for climate resilience.
- ***Governance***: Have the operational capacity and an active host that can collect and provide RTR with the data required for quantitative and/or qualitative metrics purposes.
- ***Inclusivity***: Be committed to delivering inclusive and equitable actions to people from vulnerable and marginalized groups and communities to climate risks.

- **Sustainability**: Do not take actions that harm nature and ecosystems. Impact to positively benefit people's lives and livelihoods)).

This section requires responses to all questions.

**Question.** How does the initiative increase resilience to climate change? Please, be specific in mentioning what type of risks (max. 2.000 characters)

**Question.** Why does the initiative want to be part of Race to Resilience and to contribute to the RTR goal? (max. 2.000 characters)

**Question.** How is your initiative ensuring inclusion and equity when developing an action, and how is it working with communities with high-level vulnerability to climate change ? (max. 2.000 characters)

**Question.** What is the impact of the initiative on nature or ecosystems (if any)?
- ☐ (A) Positive
- ☐ (B) Negative
- ☐ (C) Both
- ☐ (D) None

**Related to the previous question**
**If (A)**
- ➔ If positive, how are the positive impacts on nature or ecosystems? (max. 2.000 characters)

**If (B)**
- ➔ If negative, how do the initiatives mitigate that impact? (max. 2.000 characters)

**If (C)**
- ➔ If both, how is the positive impact on nature or ecosystems?, and how does it mitigate any potential negative impacts? (max. 2.000 characters)

**Question.** Has the initiative a Theory of Change?
- ☐ Yes
- ☐ No

**Related to the previous question:**
**If Yes**
- ➔ What is your theory of change (including the problem and your desired goal) to support the R2R campaign to deliver a step-change in global ambition for climate resilience, putting people and nature first towards a 4bn people goal?
- ➔ What are the steps you will take to achieve the desired goal?
- ➔ Describe targets and milestones of the theory of change?
- ➔ If you have any document explaining your theory of change in more detail, please upload it

**If No**
- ➔ What is the framework the organization uses for its long-term planning and how is it aligned to support the R2R campaign to deliver a step-change in global ambition for climate resilience, putting people and nature first towards a 4bn people goal??

**Question.** How is the governance (management of the initiative & interaction with its members) set up? (max 1,000 characters)

**Question.** If you have any document explaining your governance structure, please upload it.

**Question.** Has the initiative a Secretariat or active host that can collect data from its members and provide RtR with the data required for reporting under the RtR Metrics Framework?
- ☐ Yes
- ☐ No

**Related to the previous question:**
**If No**
➜ If your initiative does not have a Secretariat or active host, how do you expect to be able to collect the data from your members?

**Question.** What procedures are you using to monitor the progress of your members?
- ☐ (A)  We are using a formal monitoring and evaluation (M&E) procedure
- ☐ (B)  We are not using a formal M&E procedure but we are working to design one
- ☐ (C) We do not have a M&E procedure
- ☐ (D) None of the above

**Related to the previous question:**
**If (A)**
➜ Please provide a brief description on the monitory and evaluation (M&E) procedure you are using or designing
➜ If you have any document explaining your monitoring procedures in more detail, please upload it.
➜ What operational capacity do you have to track the progress of your members? (1.000 characters)

**If (B)**
➜ Please provide a brief description on the monitory and evaluation (M&E) procedure you are using or designing
➜ If you have any document explaining your monitoring procedures in more detail, please upload it.
➜ What operational capacity do you have to track the progress of your members? (1.000 characters)

**If (C)**
➜ When do you plan to have the procedure put in place?
➜ If you have any document explaining your monitoring procedures in more detail, please upload it.
➜ What operational capacity do you have to track the progress of your members? (1.000 characters)

**If (D)**
➜ Please, specify what procedures are you using to monitor the progress of your members?
➜ If you have any document explaining your monitoring procedures in more detail, please upload it.
➜ What operational capacity do you have to track the progress of your members? (1.000 characters)

# Section IV: 4Ps criteria.

You must commit to progress in the pathway to the race: Pledge, Plan, Proceed and Publish.

This section requires responses to all questions.

**Question.** Do you agree to present a PLEDGE, a clear and evidence-based PLAN to take action towards your committed pledge(s) and then report the results/outcomes (PROCEED-PUBLISH)?

☐ Yes
☐ No

**Related to the previous question:**
**If No**
➔ Only initiatives that agree to follow the 4Ps reporting pathway (Pledge, Plan, Proceed and Publish) can be part of the campaign. If you wish to review your answer, please press the 'Prev' buttonIn case you have any doubt, please provide more information.

*Initiatives MUST be willing to make a Pledge at head of organisation to translate existing and/or new targets, directly or indirectly, into the numbers of people OR number of natural systems hectares*

**Question.** Do you agree to report on progress against your commitments/pledge(s)?
**Related to the previous question:**
**If No**
➔ Only initiatives that agree to report on progress against commitments/pledge(s) can be part of the campaign.If you wish to review your answer, please press the 'Prev' buttonIn case you have any doubt, please provide more information.

*Initiatives MUST be willing to make a Pledge at head of organisation to translate existing and/or new targets, directly or indirectly, into the numbers of people OR number of natural systems hectares.*

**Question.** Is the initiative ABLE to formulate a numeric pledge now?

☐ Yes
☐ No

**Related to the previous question:**
**If No**
➔ If not, why are you unable to formulate a numeric metric now? Do you plan to work on it? Please, explain.
*Initiatives MUST be willing to make a Pledge at head of organisation to translate existing and/or new targets, directly or indirectly, into the numbers of people OR number of natural systems hectares.*

**Question.** Is the initiative WILLING to translate targets into numbers of people that directly or indirectly increased resilience thanks to the initiative intervention?

- ☐ Yes
- ☐ No

**Related to the previous question:**
**If No**

➔ If your initiative IS NOT WILLING to translate targets into numbers of people that directly or indirectly increased resilience thanks to the initiative intervention, please explain why not.

*Initiatives MUST be willing to make a Pledge at head of organisation to translate existing and/or new targets, directly or indirectly, into the numbers of people OR number of natural systems hectares.*

**Question.** Please indicate for which kinds of beneficiaries you are able to provide engagement scope of your Pledges. You can select as many options you want and will be asked to fill a pledge statement for each one you select.

- ☐ Individuals
- ☐ Companies
- ☐ Regions
- ☐ Cities
- ☐ Natural systems

*This question refers to the kind of beneficiaries you aim to reach with your actions. RtR accepts 6 types of beneficiaries:*

- *Individuals: it is the main target of the campaign and should be used by all initiatives that work directly with people, households and communities*
- *Companies: it refers to actions that make companies more resilient to climate change risks, and thus, indirectly protect livelihoods or the provision of key services*
- *CIties, regions, countries: it refers to actions that support local administrations in either protecting people from harm or ensuring them key services*
- *Natural systems: actions that protect, conserve or restore ecosystems to make them more resilient to climate change and thus protecting key ecosystem services*

*After your acceptance as RTR partner, you will be asked to provide a pledge statement for each and only the types of beneficiaries you indicate in this question. Please consider that this question refers to the direct beneficiaries (e.g. if you work with city administrations to provide them with better water infrastructure, you are benefitting both cities and individuals but only the former are 'direct' beneficiaries.*

**Final question.** Final comments (optional). Please, do not forget to press the button 'Done' and submit your responses. We will contact you as soon as the review process is completed or in case there are any additional questions.

## Thank you for your interest in the RTR campaign!